

B.COM. SEMESTER – 6

4	DSE – 4	Fundamentals of Investments - 2
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Name of the Course: **Fundamentals of Investments - 2**

Course credit: **03**

Teaching Hours: **45 (Hours)**

Total marks: **100**

Objectives:

To familiarize the students with different investment alternatives, introduce them to the framework of their analysis and valuation and highlight the role of investor protection.

Unit	Content	No. of Lectures
1	INVESTMENT PORTFOLIO MANAGEMENT: <ul style="list-style-type: none"> - Introduction - Meaning and Concept of Investment Portfolio Management - Portfolio Analysis- Meaning-Objective and process - Identification of Industries with Growth Potential - Fundamental V/S Technical Approaches - Systematic Risk and Unsystematic Risk - Risk Free Securities and Risky Securities - Portfolio and Diversification - Portfolio Risk and Return 	12
2	MUTUAL FUNDS: <ul style="list-style-type: none"> - Introduction - Meaning – Concept and Evolution of Mutual Funds - Mutual Fund set up - -[SEBI Approved Assets Management Company-AMC] - Types of Mutual Funds - Advantages and Limitation of Mutual Funds - Mutual Fund Industries and Affected Factors - NAV –Meaning and computation method - Role of Mutual Funds in Stock Market - Brief knowledge of Regulation and supervision of Mutual Funds - SEBI, RBI, AMFI-[Association of Mutual Funds of India] 	11
3	DERIVATIVES AND FINANCIAL DERIVATIVES: <ul style="list-style-type: none"> - Introduction - Meaning and Concept of Derivatives - Importance and Uses of Derivatives - Types of Derivatives 	12



	<p>[Over The Counter-OTC and Exchange Traded-ET]</p> <ul style="list-style-type: none"> - Types of Players - Classification of Derivatives: <p>[A] Financial Derivatives* [Forwards-Futures-Options-Swaps] (Credit-Forex-Currency-Fixed-income-Interest-Insider-trading-Exchange Trading)</p> <p>[B] Non-Financial Derivatives* [Commodities-Metal-Weather and Others]</p> <ul style="list-style-type: none"> - Meaning and concept of Financial Derivatives - Different kinds of Financial Derivatives - Financial Derivatives Markets in India - Need for Derivatives Trading in India <p><i>[Note: Only brief explanation of Financial Derivatives is included in the Syllabus, while Non-Financial Derivatives are excluded from the syllabus]</i></p>	
4	<p>INVESTOR PROTECTION:</p> <ul style="list-style-type: none"> - Introduction - Meaning and Concept - Role of SEBI and stock exchanges - Investor grievances and their redressal system - Insider trading - Investors' awareness and activism 	10
Total Lectures		45

SUGGESTED READINGS AND REFERENCE BOOKS:

1. C.P. Jones, Investments Analysis and Management, Wiley, 8th edition
2. Mayo, An Introduction to Investment, Cengage Learning
3. N.D. Vohra and B.R. Bagri, Futures and Options, McGraw Hill Education
4. Prasanna Chandra, Investment Analysis and Portfolio Management, McGraw Hill Ed.
5. R.P. Rustogi, Fundamentals of Investment, Sultan Chand & Sons, New Delhi.

Note: Latest Editions of the above books may be used.

